

Diagnostic Imaging, P.C.
NOTICE OF PRIVACY PRACTICES

Effective Date: September 23, 2013

THIS NOTICE DESCRIBES HOW MEDICAL INFORMATION ABOUT YOU MAY BE USED AND DISCLOSED AND HOW YOU CAN GET ACCESS TO THIS INFORMATION. PLEASE REVIEW IT CAREFULLY.

This Notice describes how we may use and disclose your protected health information for purposes of treatment, payment and health care operations, and for other purposes that are permitted or required by law.

We are required by law to:

- (1) Maintain the privacy of your protected health information
- (2) Provide you with this Notice
- (3) Abide by the terms of this Notice; and
- (4) Additionally, we reserve the right to change this Notice. We reserve the right to make any new Notice that will be adopted effective for all protected health information we maintain. Any new Notice adopted will be available at Diagnostic Imaging, P.C. office location(s).

Protected health information ("PHI") is defined as demographic and individually identifiable health information about you (individually identifiable health information) that will or may identify you and relates to your past, present or future physical, mental health or condition that involves providing health care services or health care payment.

According to federal law, providers, like Diagnostic Imaging, P.C. have the right to use and disclose your protected health information and individually identifiable health information for the purpose of treatment, payment and health care operations, except for psychotherapy notes, and other privileged categories of information, i.e., alcoholism/drug abuse treatment records, without authorization. However, the law requires providers to obtain your authorization to release your protected health information for any reason other than treatment, payment or healthcare operations with certain exceptions (see *WHEN YOUR AUTHORIZATION IS NOT REQUIRED; page 4*). Additionally, the federal law requires providers to obtain authorization to use or disclose PHI maintained in psychotherapy notes (see definition below) for treatment by persons other than the originator of the notes, for payment, or for health care operations purposes, except as otherwise specified by federal law.

HOW IS YOUR MEDICAL INFORMATION USED/DISCLOSED BY DIAGNOSTIC IMAGING, P.C. FOR TREATMENT, PAYMENT OR HEALTHCARE OPERATIONS?

Diagnostic Imaging, P.C. may use your medical information as a basis for recording individually identifiable health information, planning care and treatment and as a tool for routine health care operations such as assessing quality. Your insurance company may request information that we are required to submit in order to provide and bill for your care, such as procedure and diagnosis information. Other health care providers or health plans reviewing your records must follow the same confidentiality laws and rules required of Diagnostic Imaging, P.C. Patient records are a valuable tool used by researchers in finding the best possible treatment for diseases and medical conditions. All researchers, who are health care providers, must follow the same rules and laws that other health care providers are required to follow to ensure the privacy of your patient information. Information that may identify you will not be released for research purposes to anyone outside of Diagnostic Imaging, P.C. without your written authorization.

SPECIFIC EXAMPLES OF HOW YOUR MEDICAL INFORMATION MAY BE USED FOR TREATMENT, PAYMENT OR HEALTHCARE OPERATIONS.

- Medical information will/may be used to justify needed patient care services, (i.e., lab tests, prescriptions).**
- We will/may use your medical information to establish a treatment plan.**

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- We may disclose your protected health information to another provider for treatment (e.g., specialist, pharmacy, laboratory).**
- We will/may submit claims to your insurance company containing medical information.**
- We will/may contact you to remind you of your appointment by calling you or mailing a postcard or letter. We will/may leave a message on your answering machine.**
- We will/may contact you to schedule a follow up appointment and will/may leave a message on your answering machine**
- We may contact you to discuss treatment alternatives or other health related benefits that may be of interest to you as a patient.**
- Diagnostic Imaging, P.C. uses medical records as a basis for recording individually identifiable health information and planning care and treatment and as a tool for routine health care operations such as assessing quality.**

HOW IS HEALTH CARE OPERATIONS DEFINED?

Health care operations include conducting quality assessment and improvement activities, reviewing the competence or qualifications and accrediting/licensing of health care professionals and plans, evaluating health care professionals health plans performance, training future health care professionals, insurance activities relating to the renewal of a contract for insurance, conducting or arranging for medical review and auditing services, compiling and analyzing information in anticipation of or for use in civil or criminal legal proceedings, general administrative and business functions necessary for the covered entity to remain a viable business.

WHEN DO YOU SIGN AN AUTHORIZATION FORM?

In order to release your protected health information for any reason other than treatment, payment and health care operations, you must sign an authorization that clearly explains how your information will be used. Additionally, information about the following conditions requires an authorization even though release of information is related to treatment, payment or health care operations.

- Alcoholism/drug abuse treatment – *Federal Confidentiality 42 C.F.R. Part 2*
- Psychotherapy Notes
- HIV – related information
- Genetic Information

You may change your mind and revoke your authorization, except in as much as we have relied on the authorization until that point or if the authorization was obtained as a condition of obtaining insurance coverage. All requests to revoke an authorization should be in writing.

HOW ARE PSYCHOTHERAPY NOTES DEFINED?

Psychotherapy notes are notes recorded (in any medium) by a health care provider who is a mental health professional documenting or analyzing the contents of conversation during a private counseling session or a group, joint, or family counseling session and that are separated from the rest of the individual's medical record. Psychotherapy notes **excludes** medication prescription and monitoring, counseling session start and stop times,

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the modalities and frequencies of treatment furnished, results of clinical tests, and any summary of the following items: diagnosis, functional status, the treatment plan, symptoms, prognosis, and progress to date.

HOW DOES FEDERAL REGULATION 42 C.F.R. PART 2 APPLY TO MEDICAL RECORDS CONTAINING ALCOHOLISM/DRUG ABUSE TREATMENT NOTES?

These regulations cover any information (including information on referral and intake) about alcohol and drug abuse patients obtained by a program (as the terms "patient" and "program" are defined in § 2.11) if the program is federally assisted in any manner described in § 2.12(b). Coverage includes, but is not limited to, those treatment or rehabilitation programs, employee assistance programs, programs within general hospitals, school-based programs, and private practitioners who hold themselves out as providing, and provide alcohol and drug abuse diagnosis, treatment, or referral for treatment. However, these regulations would not apply, for example, to emergency room personnel who refer a patient to the intensive care unit for an apparent overdose, unless the primary function of such personnel is the provision of alcohol or drug abuse diagnosis, treatment or referral and they are identified as providing such services or the emergency room has promoted itself to the community as a provider of such services.

DO BUSINESS ASSOCIATES OF DIAGNOSTIC IMAGING, P.C. HAVE ACCESS TO MY MEDICAL INFORMATION?

Business Associates perform various activities such as billing services, transcription services, etc. Diagnostic Imaging, P.C. will contractually require our business associates to follow the same confidentiality laws and rules required of Diagnostic Imaging, P.C., health care providers or health plans.

WHEN YOUR AUTHORIZATION IS NOT REQUIRED.

Please note that the law requires some information to be disclosed in certain circumstances. This includes mandatory reports of abuse of children or elderly or disabled persons. Additionally, this includes uses and disclosures to the public health authority or federal/state entity that is authorized by law to collect or receive such information. One example of the public health authorities' purpose is preventing and controlling disease. An example of a federal entity is the Food and Drug Administration, adverse event reporting. An example of a state entity is the State Department of Health that is authorized to receive a variety of data concerning different health conditions. Also, subpoenas or court orders may compel the disclosure of confidential health information in the context of a lawsuit or administrative proceeding. See complete list below:

- Emergencies:* We may use or disclose your protected health information in an emergency treatment situation. If this happens, your physician shall try to obtain your consent as soon as reasonably practicable after the delivery of treatment. If your physician or another physician in the practice is required by law to treat you and the physician has attempted to obtain your consent but is unable to obtain your consent, he or she may still use or disclose your protected health information to treat you.
- Communication Barriers:* We may use and disclose your protected health information if your physician or another physician in the practice attempts to obtain consent from you but is unable to do so due to substantial communication barriers and the physician determines, using professional judgment, that you intend to consent to use or disclosure under the circumstances.
- Required By Law:* We may use or disclose your protected health information to the extent that the use or disclosure is required by law. The use or disclosure will be made in compliance with the law and will be limited to the relevant requirements of the law. You will be notified, as required by law, of any such uses or disclosures.
- Public Health:* We may disclose your protected health information for public health activities and purposes to a public health authority that is permitted by law to collect or receive the information. The disclosure will be made for the purpose of controlling disease, injury or disability. We may also disclose

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your protected health information, if directed by the public health authority, to a foreign government agency that is collaborating with the public health authority.

- ❑ *Communicable Diseases:* We may disclose your protected health information, if authorized by law, to a person who may have been exposed to a communicable disease or may otherwise be at risk of contracting or spreading the disease or condition.
- ❑ *Health Oversight:* We may disclose protected health information to a health oversight agency for activities authorized by law, such as audits, investigations, and inspections. Oversight agencies seeking this information include government agencies that oversee the health care system, government benefit programs, other government regulatory programs and civil rights laws.
- ❑ *Abuse or Neglect:* We may disclose your protected health information to a public health authority that is authorized by law to receive reports of child abuse or neglect. In addition, we may disclose your protected health information if we believe that you have been a victim of abuse, neglect or domestic violence to the governmental entity or agency authorized to receive such information. In this case, the disclosure will be made consistent with the requirements of applicable federal and state laws.
- ❑ *Food and Drug Administration:* We may disclose your protected health information to a person or company required by the Food and Drug Administration to report adverse events, product defects or problems, biologic product deviations, track products; to enable product recalls; to make repairs or replacements, or to conduct post marketing surveillance, as required.
- ❑ *Legal Proceedings:* We may disclose protected health information in the course of any judicial or administrative proceeding, in response to an order of a court or administrative tribunal (to the extent such disclosure is expressly authorized), in certain conditions in response to a subpoena, discovery request or other lawful process.
- ❑ *Law Enforcement:* We may also disclose protected health information, so long as applicable legal requirements are met, for law enforcement purposes. These law enforcement purposes include (1) legal processes and otherwise required by law, (2) limited information requests for identification and location purposes, (3) pertaining to victims of a crime, (4) suspicion that death has occurred as a result of criminal conduct, (5) in the event that a crime occurs on the premises of the practice, and (6) medical emergency (not on the Practice's premises) and it is likely that a crime has occurred.
- ❑ *Coroners, Funeral Directors, and Organ Donation:* We may disclose protected health information to a coroner or medical examiner for identification purposes, determining cause of death or for the coroner or medical examiner to perform other duties authorized by law. We may also disclose protected health information to a funeral director, as authorized by law, in order to permit the funeral director to carry out their duties. We may disclose such information in reasonable anticipation of death. Protected health information may be used and disclosed for cadaveric organ, eye or tissue donation purposes.
- ❑ *Research:* We may disclose your protected health information to researchers when their research has been approved by an institutional review board that has reviewed the research proposal and established protocols to ensure the privacy of your protected health information.
- ❑ *Criminal Activity:* Consistent with applicable federal and state laws, we may disclose your protected health information, if we believe that the use or disclosure is necessary to prevent or lessen a serious and imminent threat to the health or safety of a person or the public. We may also disclose protected health information if it is necessary for law enforcement authorities to identify or apprehend an individual.
- ❑ *Military Activity and National Security:* When the appropriate conditions apply, we may use or disclose protected health information of individuals who are Armed Forces personnel (1) for activities deemed necessary by appropriate military command authorities; (2) for the purpose of a determination by the Department of Veterans Affairs of your eligibility for benefits, or (3) to foreign military authority if you are

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a member of that foreign military services. We may also disclose your protected health information to authorized federal officials for conducting national security and intelligence activities, including for the provision of protective services to the President or others legally authorized.

- ❑ *Workers' Compensation:* Your protected health information may be disclosed by us as authorized to comply with workers' compensation laws and other similar legally-established programs.
- ❑ *Inmates:* We may use or disclose your protected health information if you are an inmate of a correctional facility and your physician created or received your protected health information in the course of providing care to you.
- ❑ *Required Uses and Disclosures:* Under the law, we must make disclosures to you and when required by the Secretary of the Department of Health and Human Services to investigate or determine our compliance with the requirements of federal law.

A summary of your rights:

All of your rights may be exercised by contacting the Privacy Liaison or Privacy Officer of Diagnostic Imaging, P.C.

- ❑ The Notice of Privacy Practices, which you are now reviewing, is part of your patient rights. You have the right to receive and read this Notice. Any material revisions to this Notice will be made available to you at our office location(s).
- ❑ You have a right to request restrictions regarding how we use and disclose your protected health information regarding treatment, payment, health care operations, however, we are not required to agree to your request unless the disclosure is to a health plan for purposes of payment for healthcare services or healthcare operations. In this case we must agree to your request; however, you must have paid us in full "out of pocket" in order for us to grant the restriction. We are not required to agree to your request if it relates to your treatment; however, if we do agree, we are bound by our agreement except when otherwise required by law, in emergencies, or when the information is necessary to treat you. We require that you make this request in writing.
- ❑ You have a right to request that we communicate about your treatment and/or protected health information by alternative means or at alternative locations. We are required to accept reasonable requests. We require that you make this request in writing.
- ❑ You have the right to ask questions and to receive answers.
- ❑ Refusal to sign an authorization form will not be held against you, however, it may prevent us from completing a task you have requested (*such as enrollment in a research study or examining you to create a report for your attorney*).
- ❑ You may change your mind and revoke your authorization, except in as much as we have relied on the authorization until that point and to maintain the integrity of a research study.
- ❑ You have the right to inspect and copy your protected health information, as permitted by law.
- ❑ You have the right to request amendments to your protected health information. We require that all requests for amendments be in writing and provide a reason to support the requested amendment. However, under federal law, we may deny the amendment.
- ❑ You have the right to an accounting of all entities that obtained information unrelated to treatment, payment or healthcare operations without your authorization.

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- ❑ You have a right to an electronic copy of electronic medical records. If your PHI is maintained in one or more designated record sets electronically (for example an electronic medical record or an electronic health record), you have the right to request that an electronic copy of your record be given to you or transmitted to another individual or entity. We may charge you a reasonable, cost-based fee for the labor associated with copying or transmitting the electronic PHI. If you chose to have your PHI transmitted electronically, you will need to provide a written request to this office listing the contact information of the individual or entity who should receive your electronic PHI.
- ❑ You have a right to receive notice of a breach. We are required to notify you by first class mail or by e-mail (if you have indicated a preference to receive information by e-mail), of any breach of your Unsecured PHI.
- ❑ You have a right to contact the Privacy Liaison or Privacy Officer of Diagnostic Imaging, P.C., 901-387-2340, to request additional information or ask questions.
- ❑ You may complain to the Privacy Liaison or Privacy Officer of Diagnostic Imaging, P.C., 901-387-2340, and to the Secretary of the Department of Health and Human Services if you feel your privacy rights have been violated. Please visit the Office of Civil Rights Privacy website for information about how to file a complaint with the Department of Health and Human Services, <http://www.hhs.gov/ocr/hipaa>. Diagnostic Imaging, P.C. will not retaliate against you for filing a complaint.